

04-08-02 0300 0300  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Hwei-Min LU  
Application Number: 09/917,396  
Filing Date: July 26, 2001  
Examiner: Not Yet Assigned  
Group Art Unit: 2123  
Title of Invention: 3-D Kinematics and Tolerance Variation Analysis  
Attorney Docket Number: 43840-00029USPT



Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

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Date of Deposit: ..... April 5, 2002

I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Type or Print Name: Carla Elkins

Signature

Dear Madam or Sir:

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**  
**FILING DATE GRANTED**

In response to the Notice of Incomplete Reply (Nonprovisional) mailed by the Application Branch on February 13, 2002, indicating that the response to notice of missing parts filed on January 11, 2002, did not include substitute drawings in compliance with 37 C.F.R. § 1.84, please find enclosed five sheets (containing figures 1-4) of formal drawings in compliance with 37 C.F.R. § 1.84. In addition, a copy of the Notice of Incomplete Reply (Nonprovisional) is enclosed herewith. Please note that applicant qualifies as a small entity.

Also enclosed with this Response is a Petition for Extension of Time (five months) and a check in the amount of \$980.00 in payment of the extension fee under 37 CFR 1.17(a).

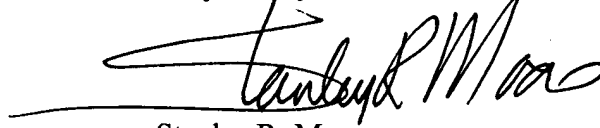
In the event that additional fees are required in connection with this Response, please charge any underpayment or credit any overpayment to Deposit Account No. 10-0447.

It is respectfully submitted that no additional parts are required to be filed with regard to the above-referenced application, and the application should therefor be processed accordingly.

Please address all communications related to this to:

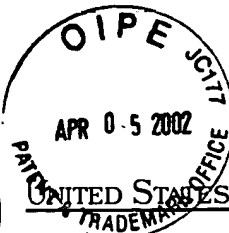
Stanley R. Moore, Esq.  
Jenkins & Gilchrist, P.C.  
1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

Respectfully Submitted,



Stanley R. Moore  
Reg. No. 26,958

Telephone: (214) 855-4500  
Facsimile: (214) 855-4300



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,396	07/26/2001	Hwei-Min Lu	43840-29USPT

CONFIRMATION NO. 9788

## FORMALITIES LETTER



\*OC00000007470591\*

JENKENS & GILCHRIST  
Suite 3200  
1445 Ross Avenue  
Dallas, TX 75202-2799

Date Mailed: 02/13/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/11/2002 to the Notice to File Missing Parts (Notice) mailed 09/10/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

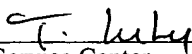
A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/917,396-040502



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

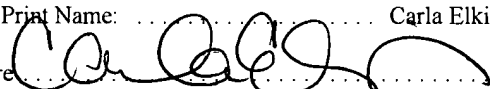
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05-08-02

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**Box Refund Section**  
Accounting Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Madam or Sir:

<p><b>CERTIFICATE OF MAILING BY EXPRESS MAIL</b> "EXPRESS MAIL" Mailing Label No.: . . . EL859384608US Date of Deposit: . . . . . April <u>5</u>, 2002 I hereby certify that this paper, including the documents referred to therein, or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231 Type or Print Name: . . . . . Carla Elkins Signature: </p>
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**REQUEST FOR REFUND UNDER 37 C.F.R. § 1.26**

Upon the filing of the Response to Notice of Missing Parts on November 30, 2001,  
Applicant paid the following fees:

Declaration Surcharge Fee	\$130.00
Petition for One Month Extension of Time	\$110.00

Applicant asserts that it has overpaid for the following reasons:

1. Applicant Qualifies as a Small Entity.
2. Applicant submitted a petition for a one month extension of time at the time of filing the Response to Notice of Missing Parts. However, applicant is herewith submitting a Petition for a Five Month Extension of Time in which to respond to the Notice of Incomplete Reply. Applicant is also enclosing a check in the amount of \$980, which should be sufficient for paying a five month extension fee.

The proper fees due in connection with the filing of Applicant's Response to Notice of Missing Parts on November 30, 2001, are:

Declaration Surcharge Fee

\$65.00

**TOTAL REFUND REQUESTED:**

**\$175.00**

Therefore, Applicant paid \$175.00 in excess of the proper amounts due and requests a refund in this amount.

**MANNER OF REFUND**

Please make refund by crediting Deposit Account No. 10-0447.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Stanley R. Moore", is written over a horizontal line.

Stanley R. Moore

Registration No. 26,958

Jenkins & Gilchrist, P.C.  
1445 Ross Avenue; Suite 3200  
Dallas, Texas 75202-2799  
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